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FAX TRANSMITTAL

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 Date: October 15, 2003

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Total Number of Pages Including Cover Page 22

**TO** Examiner Enoch E. Peavey  
**Company** U.S. Patent and Trademark Office  
**Fax** 703-872-9306  
**Phone** 703-305-1977

OFFICIAL

## COPIES TO:

Name	Company	Fax	Time Sent
			/
			/

Original will follow via: ☐ Regular Mail ☐ Overnight Delivery ☐ Messenger ☐ None

## NOTES:

Applicant: Mark R. Wilkinson  
 Serial No.: 10/055,542  
 Filed: January 23, 2002  
 For: SHAFT SEALING SYSTEM FOR A ROTARY MECHANICAL DEVICE  
 Group Art Unit: 3676  
 Examiner: Enoch E. Peavey  
 Conf. No.: 8854

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OCT 15 2003

As per your telephone conversation today with our Docket Clerk, Lena Cheung, attached is a copy of the Amendment, Exhibit A, Exhibit B and cover sheets as filed on August 22, 2003. Also attached is a copy from our Daily Log Book for August 22, 2003 showing the Amendment as entry No. 5.

If you do not receive all of the pages, please call Amy E. Sauther at (212) 702-1383.

Please Transmit Before: ☐ 9 ☐ 10 ☐ 11 a.m. ☐ 12 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 p.m.

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August 22, 2003 Cont'd

5- Regular  
 SOI 285, 20101 10/055,542 \$1500 #1526  
 Reg & Dec Transmittals Ex. Reg. Amdt, Dec.  
 of Wilkinson w/ Exhibits A, B

6- Box 1092  
 SOI 1010, 20658 10/234,632 \$Charged  
 MCH, PTO-1595 w/ Argument for Record.

Monday August 25, 2003

1- EV 304 050 79605 (NFA)  
 Del-103 09/744,109 Amendment w/ fig 1-7  
 (Sketch) + New Abstract

2- EV 304 050 78205 Amdt-Gee.  
 2i-3100 09/895,518 \$930<sup>00</sup> #7333  
 3m X of Time and Amendment.

3- EV 304 050 72905  
 Del-1065 09/530,167 \$930<sup>00</sup> #7334  
 3m X of Time, Amendment w/ attachment

4- TMK  
 SOO 751, 20007 "IDEAS" 78/159,562  
 Transmittal Amendment, Amdt for College Use  
 37 CFR 2.56 w/ Specimen \$100<sup>00</sup> Charged to  
 Credit Card (PTO-2038 C C Payment form)

5- Regular  
 SOI 1010, 20518 10/053,401 MCH,  
 PTO-1449 w/ EPLat.

PTO/SB/21 (01-03)

Approved for use through 04/30/2003. OMB 0851-0031

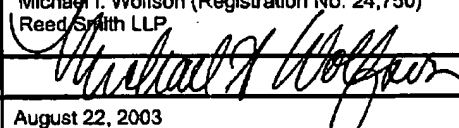
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

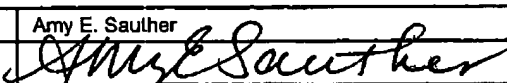
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/055,542	
	Filing Date	January 23, 2002	
	First Named Inventor	Mark R. Wilkinson	
	Art Unit	3876	
	Examiner Name	Enoch E. Peavey	
Total Number of Pages in This Submission	18	Attorney Docket Number	MARLO-101 (501285.20101)

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1526
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard; Check No. <del>7304</del>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	\$55.00
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Michael I. Wolfson (Registration No. 24,750) Reed Smith LLP
Signature	
Date	August 22, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 22, 2003			
Typed or printed	Amy E. Sauther		
Signature		Date	August 22, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0851-0032

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# FEE TRANSMITTAL

## for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 55.00)

**Complete if Known**

Application Number	10/055,542
Filing Date	January 23, 2002
First Named Inventor	Mark R. Wilkinson
Examiner Name	Enoch E. Peavey
Art Unit	3676
Attorney Docket No.	MARLO-101 (501285.20101)

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	50-1529
Deposit Account Name	REED SMITH LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$ 0.00)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	
			<b>0.00</b>

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	55.00
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or release)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1480 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 55.00)**SUBMITTED BY**

Name (Print/Type)	Michael J. Wilkerson	Registration No. (Attorney/Agent)	24,750	Telephone	212-521-5400
Signature		Date	August 22, 2003		

(Complete if applicable)

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